

Docket No. 265265US0PCT



1003 Rec'd PCT/PTO 15 APR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hisao KATOU, et al.

SERIAL NO: 10/522,722

GAU:

FILED: January 28, 2005

EXAMINER:

FOR: COMPOSITION FOR HOLOGRAPHY, METHOD OF CURING THE SAME, AND CURED ARTICLE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449 (Modified) | | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 265265US0PCT | | SERIAL NO. 10/522,722 | |
|--|----|--|--|--|---------------------------------|--|----------------------------|----|
| LIST OF REFERENCES CITED BY APPLICANT | | | APPLICANT Hisao KATOU, et al. | | | | | |
| | | | FILING DATE January 28, 2005 | | | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | |
| | AA | | | | | | | |
| | AB | | | | | | | |
| | AC | | | | | | | |
| | AD | | | | | | | |
| | AE | | | | | | | |
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| | AG | | | | | | | |
| | AH | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | | YES | NO |
| | AI | 99/26112 | 05/27/99 | WO (equivalent of JP 2001-523842) | | | | NO |
| | AJ | 97/13183 | 04/10/97 | WO (equivalent of JP 11-512847 & US 5759721) | | | | NO |
| | AK | 7-5796 | 01/10/95 | JP | | | | NO |
| | AL | 2000-047552 | 02/18/00 | JP (English abstract only) | | | | NO |
| | AM | 57-57487 | 12/04/82 | JP (with English abstract) | | | | NO |
| | AN | 57-57488 | 12/04/82 | JP (with English abstract) | | | | NO |
| | AO | 57-57489 | 12/04/82 | JP (with English abstract) | | | | NO |
| | AP | 57-57490 | 12/04/82 | JP (with English abstract) | | | | NO |
| | AQ | 57-57491 | 12/04/82 | JP (with English abstract) | | | | NO |
| | AR | 57-57492 | 12/04/82 | JP (with English abstract) | | | | NO |
| | AS | 57-133122 | 08/17/82 | JP (with English abstract) | | | | NO |
| | AT | 58-021418 | 02/08/83 | JP (with English abstract) | | | | NO |
| | AU | 58-160342 | 09/22/83 | JP (with English abstract) | | | | NO |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | | |
| | AV | WALDMAN, D.A. et al. "Volume Shrinkage in Slant Fringe Gratings of a Cationic Ring-Opening Holographic Recording Material", Journal of Imaging Science and Technology, vol. 41, no. 5, pages 497-514 1997 | | | | | | |
| | AW | HAYASE, S. et al. "Polymerization of Cyclohexene Oxide with Al(acac)3-Silanol Catalyst", Journal of Polymer Science: Polymer Chemistry Edition, vol. 19, pages 2185-2194 1981 | | | | | | |
| | AX | HAYASE, S. et al. "Polymerization of Cyclohexene Oxide with Aluminum Complex-Silanol Catalysts. Part III. Dependence of Catalytic Activity on Bulkiness of Silanol and Its Intramolecular Hydrogen Bond", Journal of Polymer Science: Polymer Chemistry Edition, vol. 19, pages 2977-2985 1981 | | | | | | |
| | AY | HAYASE, S. et al. "Polymerization of Cyclohexene Oxide with Aluminum Complex/Silanol Catalysts. V. A Catalytic Activity Dependence on the Aluminum Chelate Structure", Journal of Polymer Science: Polymer Chemistry Edition, vol. 20, pages 3155-3165 1982 | | | | | | |
| | AZ | HAYASE, S., Kobunshi, vol. 35, no. 2, pages 116-119, with partial English translation 1986 | | | | <input type="checkbox"/> Additional References sheet(s) attached | | |
| Examiner | | | | | Date Considered | | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | |

U.S. PCT Application Serial No.: 10/522,722
Docket No.: 265265US0PCT

STATEMENT OF RELEVANCY

- 1) References AI-AL have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) Reference AV is discussed in the specification. A copy of this reference is being submitted here with.
- 4) References AM-AU, AW-AZ are additional prior art known to Applicant. A copy of these references is being submitted herewith.